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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/719,021	11/24/2003	Joon-Ho Cha	1793.1110

21171  
STAAS & HALSEY LLP  
SUITE 700  
1201 NEW YORK AVENUE, N.W.  
WASHINGTON, DC 20005

CONFIRMATION NO. 9169

## FORMALITIES LETTER



\*OC000000012412341\*

Date Mailed: 04/22/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/20/2004 HDEMESS1 00000008 10719021

01 FC:1001	770.00	OP
02 FC:1201	430.00	OP
03 FC:1202	180.00	OP
04 FC:1051	130.00	OP

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$610** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1510** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.



RESPONSE TO NOTICE TO FILE MISSING PARTS		Attorney Docket No.	1793.1110																						
		Application Number	10/719,021																						
		Filing Date	November 24, 2003																						
		First Named Inventor	Joon-Ho CHA et al.																						
		Group Art Unit																							
Title:	LASER DIODE FOR OPTICAL PICKUP AND METHOD OF PROTECTION																								
<p>Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed <u>April 22, 2004</u> for the above-identified application, enclosed are the following:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> RETURN COPY of Notice to File Missing Parts of Nonprovisional Application</li><li><input checked="" type="checkbox"/> Executed Combined Declaration/Power of Attorney executed by the inventor(s) for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor(s) executed by signing the attached Declaration.</li><li><input type="checkbox"/> Formal Drawings ( _____ Sheets; Figs. _____ )</li><li><input type="checkbox"/> English-language translation of application (with Translator's Statement (pages _____))</li><li><input type="checkbox"/> Verified Statement Claiming Small Entity Status</li></ul>																									
<p>Enclosed is a payment of the following:</p> <table><tbody><tr><td><input checked="" type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)</td><td>\$ 770.00</td></tr><tr><td><input checked="" type="checkbox"/> The additional claim(s) fee (10 claims over 20)</td><td>\$ 180.00</td></tr><tr><td><input type="checkbox"/> The additional independent claim(s) fee (5 claims over 3)</td><td>\$ 430.00</td></tr><tr><td><input type="checkbox"/> Multiple dependent claims</td><td>\$</td></tr><tr><td><input checked="" type="checkbox"/> Petition for Extension of Time ( <u>two</u> -month)</td><td>\$420.00</td></tr><tr><td><input type="checkbox"/> English language translation fee</td><td>\$</td></tr><tr><td><input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)</td><td>\$130.00</td></tr><tr><td colspan="2" style="text-align: right;"><b>SUBTOTAL FEES:</b></td></tr><tr><td><input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)</td><td>.00</td></tr><tr><td colspan="2" style="text-align: right;"><b>SUBTOTAL FEES:</b></td></tr><tr><td colspan="2" style="text-align: right;"><b>TOTAL FEES DUE:</b></td></tr></tbody></table>				<input checked="" type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)	\$ 770.00	<input checked="" type="checkbox"/> The additional claim(s) fee (10 claims over 20)	\$ 180.00	<input type="checkbox"/> The additional independent claim(s) fee (5 claims over 3)	\$ 430.00	<input type="checkbox"/> Multiple dependent claims	\$	<input checked="" type="checkbox"/> Petition for Extension of Time ( <u>two</u> -month)	\$420.00	<input type="checkbox"/> English language translation fee	\$	<input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)	\$130.00	<b>SUBTOTAL FEES:</b>		<input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)	.00	<b>SUBTOTAL FEES:</b>		<b>TOTAL FEES DUE:</b>	
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<b>TOTAL FEES DUE:</b>																									
08/20/2004 HDMESS1 00000008 10719021																									
05 FC:1252	420.00	DP	\$1930.00																						

- Total additional claim fee(s) for this application is **\$610**

- **\$430** for **5** independent claims over **3**.
- **\$180** for **10** total claims over **20**.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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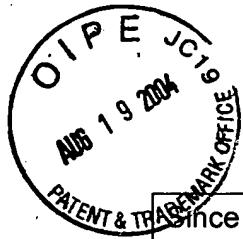
*A copy of this notice **MUST** be returned with the reply.*

*Ugur*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of June 22, 2004, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$420)); (3 months (\$950)); (4 months (\$1,480)); (5 months (\$2,010));

#### METHOD OF PAYMENT

Check enclosed as payment.  
 Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

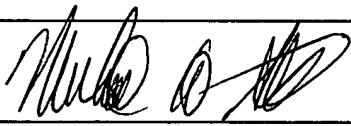
#### AUTHORIZATION

If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935

Deposit Account Name: STAAS & HALSEY LLP

#### SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	Michael D. Stein	Reg. No.	37,240
Signature		Date	8/19/04